

## DELTA OPERATORS ON SEQUENCE SPACES

J. PRADA

Received March 18, 2001; revised June 12, 2001

**ABSTRACT.** In this paper we study differentiation-invariant operators on sequence spaces showing that they can be considered as convolutions in a certain sense. When they are isomorphisms we prove that they have an exponential representation behaving as “translations”.

**1 Introduction** It is known that polynomials of convolution type and certain kind of linear operators on polynomials are linked [1]. In fact, let  $(q_n)_{n \in \mathbb{N}}$  be a sequence of polynomials of convolution type with  $\deg q_n = n$ , for all  $n \in \mathbb{N}$ ; then  $(q_n)_{n \in \mathbb{N}}$  is a basis for the vector space  $P$  of polynomials with coefficients in  $\mathbb{R}$  or  $\mathbb{C}$  (or any field  $K$  of characteristic zero). Hence there exists a unique linear operator  $Q$  on  $P$  with  $Qq_n = q_{n-1}$ ,  $n \geq 1$  and  $Qq_0 = 0$ , which turns out to be translation-invariant, where the translation operator  $E^a$  is defined by  $(E^a p)(x) = p(x + a)$ ,  $p \in P$ . Translation-invariant operators  $Q$  on  $P$  such that  $Qx = \text{nonzero constant}$  are called delta operators [1].

If  $D$  is the differentiation operator (example of a delta operator), then  $q_n(x) = \frac{x^n}{n!}$  for all  $n \in \mathbb{N}$  are the corresponding convolution polynomials. Algebraically, that is on  $P$ , any differentiation-invariant operator  $T$  is translation-invariant; besides  $T$  can be represented as a series,  $T = \sum (Tq_n)(0) D^n$  [1]. Operators commuting with  $D$  (differentiation invariant) has been treated by several authors [1, 2, 5, 7, 9, 10, 11, 12] in different contexts.

Connected with the so-called “umbral calculus” Rota and his collaborators have studied certain sequences of special functions and related operators (Sheffer sequences and operators)

$$\lambda_{g,f} = \frac{1}{g(t)} \lambda_f = \lambda_f \frac{1}{g(\overline{f}(t))}$$

[11, formulas (3.4.5) and (3.5.1), p.42]. Then a Sheffer operator  $\lambda_{g,f}$  is expressed as a composition of an umbral operator [11] and a multiplication operator (that is, an operator commuting with differentiation) and so in  $P$  these operators appear in the study of Sheffer operators and many of his properties are known [11, 12]. The question of extending them as continuous linear operators to Banach and Fréchet spaces (from this point of view) has been treated mainly by Grabiner [5]; using some expansion theorems she is able to extend some of the umbral methods, developed by Rota, Roman and some others to spaces of entire functions.

In section 2 we established the terminology used in this paper (following mainly [1], [5], [11]); we deal with weighted sequence spaces,  $\ell^1(w_n)$  and  $c_o(\frac{n!}{w_n})$  and state some basic results that will be used later on.

In section 3 we consider the problem of a differentiation-invariant operator being a translation-invariant operator and if so a convolution on a certain way.

In section 4 the spectrum of  $D$  is studied.

---

2000 *Mathematics Subject Classification.* 47B37, 46A45.

*Key words and phrases.* Delta Operators, Sequence Spaces.

Finally, section 5, treats the relationship between the continuity (and invertibility) of a differentiation-invariant operator and the spectrum of  $D$ ; when  $\ell^1(w_n) = \ell^1(r^n)$ , these results are essentially known to Grabiner [5] without being explicitly mentioned. The question of an isomorphism being of the type  $e^{f(D)}$ , that is, behaving as a "translation" comes in a natural way (note that the translation operator  $E^1 = e^D$ ). For certain Fréchet spaces (infinite power series spaces) results of this type can be found in [10]; for  $\ell^1(w_n)$  being an algebra (that is, if and only if  $w_{n+m} \leq C w_n w_m$ , for all  $m, n$ ) it turns out that all isomorphisms are of this type; in fact it is true for a much larger class of sequences  $(w_n)$  as it is proved in this paper.

**2 Basic results and terminology** If  $(w_n)$  is a sequence of positive numbers,  $\ell^1(w_n)$  is the Banach space of formal power series  $f(t) = \sum c_n t^n$  for which the norm

$$\|f\| = \sum |c_n| w_n$$

is finite and  $c_o(\frac{n!}{w_n})$  is the Banach space with the usual norm

$$\|f\| = \sup_n \left| \frac{c_n n!}{w_n} \right|$$

containing the formal series  $f(x) = \sum c_n x^n$  such that

$$\lim \left| \frac{c_n n!}{w_n} \right| = 0$$

Note that the variables  $t$  and  $x$  are used in a formal sense; when we deal with  $c_o(\frac{n!}{w_n})$  and its topological dual  $\ell^1(w_n)$  (or  $P$  and  $P^*$ ) the different variables are used to distinguish the elements of both spaces.

Suppose that  $T$  is a linear transformation on the space  $P$  of polynomials, and let  $T^*$  be its adjoint on  $P^*$ . The following lemma will be frequently used [5].

**Lemma 2.1.** *Suppose that  $T$  is a linear operator on the space  $P$  of polynomials with  $T^*(t^k) = h_k(t)$  and that  $(w_n)$  and  $(\mu_n)$  are sequences of positive numbers. Then the following are equivalent:*

- (a)  $T$  has a (necessarily unique) extension to a bounded operator from  $c_o(\frac{n!}{w_n})$  to  $c_o(\frac{n!}{\mu_n})$ .
- (b)  $T^*$  maps  $\ell^1(\mu_n)$  to  $\ell^1(w_n)$  (the restriction map is necessarily continuous).
- (c)  $h_k(t)$  belongs to  $\ell^1(w_n)$  for all  $k$ , and  $\|h_k(t)\|_{w_n} = O(\mu_k)$ .

Moreover, when the above conditions hold, the maps  $T$  and  $T^*$  have the same operator norm, which is  $M = \sup_k \frac{\|h_k(t)\|}{\mu_k}$ .

Considering the algebra of formal power series denoted by  $P^*$  (or  $\mathbb{C}[[t]]$  for Grabiner) as the dual space of  $P$  ( $\mathbb{C}[x]$  for Grabiner), that is, explicitly defining the duality by

$$\left\langle \sum \frac{a_n t^n}{n!} / \sum b_n x^n \right\rangle = \sum a_n b_n,$$

then multiplication by  $f(t) = \sum c_n t^n$  on  $P^*$  is the adjoint of the operator  $f(t)p(x) = \sum c_n p^{(n)}(x)$  on  $P$  [11]; this is a fundamental tool throughout our paper. With this duality  $\ell^1(w_n)$  has predual  $c_o(\frac{n!}{w_n})$ .

In [5] multiplication for  $f(t)$  means not only power-series multiplication on  $P^*$  but also the action on  $P$  of the operator mentioned above. In this paper we talk of differentiation-invariant (commuting with  $D$ ) and multiplication operators, following [5].

### 3 Continuous operators commuting with $D$

**Proposition 3.1.** *A linear operator  $T$  commuting with  $D$  from  $c_o(\frac{n!}{w_n})$  to  $c_o(\frac{n!}{\mu_n})$  is continuous if and only if, for all  $n$*

$$\sum_{k=0}^{k=\infty} \frac{|c_k|}{k!} w_{n+k} \leq C \mu_n$$

*A necessary condition for  $T$  to be continuous is*

$$\left\| \sum_{k=0}^{k=n} c_k \binom{n}{k} x^{n-k} \right\|_{c_o(\frac{n!}{\mu_n})} \leq C \|x^n\|_{c_o(\frac{n!}{w_n})}$$

*or equivalently, for all  $n$*

$$\sup_{0 \leq k \leq n} \left( \frac{|c_k|}{k!} \frac{1}{\mu_{n-k}} \right) \leq \frac{C}{w_n}$$

*Proof.* As  $T$  commutes with  $D$ ,  $T = \sum_{k=0}^{k=\infty} \frac{c_k}{k!} D^k$ ; then  $Tx^n = \sum_{k=0}^{k=n} c_k \binom{n}{k} x^{n-k}$  and the result follows from c of lemma 2.1. Writing  $T = \sum c_k D^k$ , the necessary and sufficient condition is  $\sum_{k=0}^{k=\infty} |c_k| w_{n+k} \leq C \mu_n$ , for all  $n$  while the necessary one is  $\sup_{0 \leq k \leq n} (|c_k| \frac{1}{\mu_{n-k}}) \leq \frac{C}{w_n}$ , for all  $n$ .  $\square$

**Proposition 3.2.** *Let  $T = \sum c_k D^k$  a continuous linear operator commuting with  $D$ . If  $\limsup (w_k)^{\frac{1}{k}} = a > 0$ , then the function  $f(z) = \sum c_k z^k$  is analytic on the open disc  $\{z : |z| < a\}$ .*

*Proof.* Continuity of  $T$  implies  $|c_k| \leq C \frac{\mu_0}{w_k}$ , for all  $k$  and so the result.  $\square$

*Remark.* Observe that the operator  $D$  is continuous if and only if  $\sup \frac{w_k}{\mu_{k-1}} < \infty$ .

On the other hand  $\limsup_k \|D^k\|^{\frac{1}{k}} \geq \limsup (w_k)^{\frac{1}{k}}$ ; this relationship will be made more precise later on.

**Proposition 3.3.** *Assume that  $D$  is continuous from  $c_o(\frac{n!}{w_n})$  to  $c_o(\frac{n!}{\mu_n})$ . Then, the continuity of  $D$  implies the continuity of the translation operator  $E^a$ , for all  $a \in \mathbb{C}$ .*

*Proof.* As  $E^a(x^n) = \sum_{k=0}^{k=n} \binom{n}{k} a^{n-k} x^k$ ,  $E^a$  (symbolically  $e^{aD}$ ) is continuous if and only if

$$\sum_{k=0}^{k=\infty} \frac{|a|^k}{k!} w_{n+k} \leq C w_n, \text{ for all } n$$

$D$  continuous implies  $\frac{w_k}{w_{k-1}} < A$ , for all  $k$  and so  $\frac{w_{n+k}}{w_n} < A^k$ , for all  $k$  and  $n$ . Then

$$\sum_{k=0}^{k=\infty} \frac{|a|^k}{k!} w_{n+k} \leq e^{|a|A} w_n, \text{ for all } n.$$

□

*Remark.* The continuity of  $D$  does not implies the continuity of  $E^a$  in all cases. It is enough to consider the sequences  $w_n = \frac{1}{(n-1)!}$  and  $\mu_n = \frac{1}{n!}$  and the previous result is false. Therefore in  $P$  differentiation-invariant operators are translation-invariant but in a more general setting the statement translation-invariant does not even “makes sense”.

The results stated above are true if the weighted sequences spaces are substituted by a Banach space  $B$  with a Schauder basis but in the context of Fréchet spaces it is no longer so. On an infinite power series space, as in [10], continuity of both operators is not simultaneous. The study of conditions to ensure both things happening will be the object of a separate paper.

**Theorem 3.1.** Assume that  $D$  is a continuous operator on  $c_o(\frac{n!}{w_n})$  (and so  $E^a$  by the previous proposition). If  $T$  is a differentiation-invariant continuous linear operator on  $c_o(\frac{n!}{w_n})$ , then  $T$  can be written as a “convolution” in the following way

$$Tx^n = (T_o * E^a)(x^n)$$

where  $T_o$  is the continuous linear functional such that

$$T_o x^n = (Tx^n)_{x=0}.$$

*Proof.*  $(T_o * E^a)(x^n)$  means  $(T(x+a)^n)_{a=0}$  considering  $a$  and  $x$  as two variables.

Therefore, as  $T = \sum c_n D^n$ , computing we have

$$\begin{aligned} T(x+a)^n &= T \left( \sum_{k=0}^{k=n} \binom{n}{k} a^{n-k} x^k \right) = \sum_{k=0}^{k=n} \binom{n}{k} a^{n-k} T(x^k) = \\ &= \sum_{k=0}^{k=n} \binom{n}{k} a^{n-k} \left( \sum_{p=0}^{p=k} c_p \times (k(k-1)\dots(k-p+1)) x^{k-p} \right) \text{ and it is enough to take } a=0. \end{aligned}$$

The continuity of all operators involved guaranteed the extension to all  $c_o(\frac{n!}{w_n})$ . □

*Remark.* The problem of characterizing translation-invariant operators is a classical one; for instance, Hörmander [7] studies translation-invariant operators on the spaces  $L^p(\mathbb{R}^n)$  finding that they are differentiation-invariant and a convolution.

Note, on the other hand, that translations are isomorphisms and have a exponential representation; the result is true, in certain cases, for all isomorphisms as have been proved in [2, 9, 10]. Finally, let us stress the importance, in this context, of the value 0 as the previous theorem and the representation  $T = \sum (Tq_n)(0) D^n[1]$  shows.

**4 Spectrum of  $D$**  Grabiner [5], Prada [10] have proved that there is a strong relationship between the spectrum of  $D$  and the isomorphisms commuting with  $D$ ; this fact is, also, implicitly stated in [2, 9], where they deal with exponential functions as eigenvectors of the differential equation  $Df(z) = \lambda f(z)$  on spaces of analytic functions. The formal solutions of the equation  $D(\sum a_n x^n) = \lambda \sum a_n x^n$  are, in fact, the sequences  $a_n = \frac{\lambda^n}{n!}$ , for all  $\lambda \in \mathbb{C}$  (exponential functions); then the first step to determine the spectrum of  $D$  is to consider the functions  $e^{\lambda x} = \sum \frac{\lambda^n}{n!} x^n$ , what we do stating the following obvious proposition.

**Proposition 4.1.** *Consider the Banach space  $c_o(\frac{n!}{w_n})$ . Then,*

- a)  $\lambda = 0$  is always an eigenvalue.
- b) If  $\sup(w_n)^{\frac{1}{n}} = a > 0$ , the set of eigenvalues is the open disc  $D(0, a)$ .
- c) If  $\sup(w_n)^{\frac{1}{n}} = \infty$ , the set of eigenvalues can be  $\lambda = 0$  only, an open disc or  $\mathbb{C}$ .

*Proof.* We give some simple examples to show the possibilities in c. Take  $w_n = n^2, n$  even and  $w_n = \frac{1}{n^2}, n$  odd; in this case  $\lambda = 0$  is the only eigenvalue. If  $w_n = 1, n$  even and  $w_n = n^2, n$  odd, then the set of eigenvalues is the open disc  $D(0, 1)$ ; finally taking  $w_n = n^2, n$  even and  $w_n = n^3, n$  odd, all complex numbers are eigenvalues.  $\square$

*Remark.* Notice that in case c) the operator  $D$  is not continuous on  $c_o(\frac{n!}{w_n})$ ; then this case is not to be considered in what follows because we assume  $D$  to be continuous. Compare the above proposition with theorem 2 in [10].

**Theorem 4.1.** *Suppose that  $D$  is continuous on  $c_o(\frac{n!}{w_n})$  (equivalent to  $\sup \frac{w_{n+1}}{w_n} < \infty$ ). Then,*

- a) *The set of eigenvalues of  $D$  is an open disc.*
- b) *The spectral radius of  $D = \lim_{n \rightarrow \infty} \|D^n\|^{\frac{1}{n}} = r$ , where  $r = \lim_{n \rightarrow \infty} \left( \sup_k \frac{w_{n+k}}{w_k} \right)^{\frac{1}{n}}$ .*
- c)  *$\text{Spectrum } D = \text{Spectrum } D^* = \text{a closed disc of center } 0 \text{ and radius equal to } r$ .*

*Proof.* (a) is obvious from the previous proposition and b comes from  $\|D^n\| = \sup_k \frac{\|D^n e_k\|}{\|e_k\|} = \sup_k \frac{w_{n+k}}{w_k}$ .

To prove (c) observe, first, that  $(\lambda I - D)^* = \lambda I - D^*$  and, second, that  $(\lambda I - D)$  is continuous on  $c_o(\frac{n!}{w_n})$  if and only if  $(\lambda I - D^*)$  is continuous on  $\ell^1(w_n)$  (lemma 2.1). Therefore, let us determine those  $\lambda$  that make

$(\lambda I - D^*)$  invertible and continuous; as  $(\lambda I - D^*)^{-1} = \sum_{k=0}^{k=\infty} \frac{1}{\lambda^{n+1}} D^n$ , the mapping  $(\lambda I - D^*)^{-1}$  is continuous if and only if

$$\sum_{k=0}^{k=\infty} \left| \frac{1}{\lambda^{n+1}} \right| w_{n+k} \leq C w_k, \text{ for all } k \text{ or } \sum_{k=0}^{k=\infty} \left| \frac{1}{\lambda^{n+1}} \right| \frac{w_{n+k}}{w_k} \leq C.$$

Assume that  $|\lambda| > \lim_{n \rightarrow \infty} \left( \sup_k \frac{w_{n+k}}{w_k} \right)^{\frac{1}{n}}$ ; then,  $\lim_{n \rightarrow \infty} \left( \frac{1}{|\lambda|^{n+1}} \left( \sup_k \frac{w_{n+k}}{w_k} \right) \right)^{\frac{1}{n}} < 1$  and so  $\lambda \notin \text{spectrum } D^* \text{ or } \text{spectrum } D^* \subset \overline{D(0, r)}$ .

Finally, it is enough to see that  $D(0, r) \subset \text{spectrum } D^*$ . Take  $\lambda \notin \text{spectrum } D^*$  from what follows that  $(\lambda I - D^*)$  is continuous and therefore analytic on the disc  $D(0, r)$  (notice that  $\|\lambda I - D^*\| \geq \sum_{k=0}^{\infty} \left| \frac{1}{\lambda^{n+1}} \right| \frac{w_{n+k}}{w_k} \geq \frac{1}{|\lambda^{n+1}|} \frac{w_{n+k}}{w_k}$ , for all  $k$ ); consequently  $\lim_{n \rightarrow \infty} \left( \frac{1}{|\lambda^{n+1}|} \right)^{\frac{1}{n}} \leq \frac{1}{r}$  and  $\lambda \notin D(0, r)$ .  $\square$

*Remark.* In the previous theorem it is assumed that  $r$  is greater than zero; when  $r = 0$ ,  $\text{spectrum } D = \{0\}$ . Besides  $\lim_{n \rightarrow \infty} \left( \sup_k \frac{w_{n+k}}{w_k} \right)^{\frac{1}{n}}$  exists because  $\left( \frac{w_{n+1}}{w_n} \right)$  is bounded ( $D$  continuous). If  $\ell^1(w_n)$  is an algebra, that is, if and only if  $w_{n+m} \leq C w_n w_m$ , for all  $m$  and  $n$ , then  $\sup_k \frac{w_{n+k}}{w_k} = w_n$  and the closed disc  $D(0, r)$  is, precisely, the maximal ideal space of the Banach algebra  $\ell^1(w_n)$ .

$D^*$  is always one-to-one and so it is  $(\lambda I - D^*)$  ( $D^*$  has not eigenvalues); then  $\lambda \in \text{spectrum } D^*$  if and only if the space generated by  $[(\lambda I - D^*) \ell^1(w_n)]$  is not  $\ell^1(w_n)$ .

If  $T$  is a continuous linear operator commuting with  $D$  (given by the function  $f(t)$ ) and  $\lambda$  is an eigenvalue of  $D$ , from  $T(e^{\lambda x}) = f(\lambda)e^{\lambda x}$  it follows that  $f(\lambda)$  is an eigenvalue of  $T$ ; in fact, it is easy to see that the set  $\{f(\lambda), \lambda \in D(0, r)\} \subset \text{spectrum } T$ .

**5 Invertible operators** Linear translation-invariant operators on  $P$  are well-known; a family  $(T_t)_{t>0}$  of linear translation-invariant operators is a semigroup if  $T_{s+t} = T_s T_t$ , for all  $s, t > 0$ . If  $(T_t)_{t>0}$  is a semigroup on  $P$ , then  $T_t$  is invertible for all  $t > 0$  and hence,  $(T_t)_{t>0}$  can be extended to a group  $(T_t)_{t \in \mathbb{R}}$  [1]. Besides as  $T_t$  can be expanded into powers of  $D$  (linear translation-invariant operators on  $P$  coincide with linear differentiation-invariant ones) the coefficients of these expansion are studied and the infinitesimal generator of the semigroup is determined. We mention *theorem 2.5.4.* of [1] for the sake of completeness:

**Theorem 2.5.4.** *Let  $(T_t)_{t>0}$  be a semigroup of linear translation-invariant operators on  $P$  and let the functions  $a_n$  ( $n \in \mathbb{N}$ ) be defined by  $T_t = \sum_{k=0}^{\infty} a_n(t) D^n$  for all  $t > 0$  and all  $n \in \mathbb{N}$ . Then:*

- a) *The sequence  $(a_n)_{n \in \mathbb{N}}$  is a sequence of functions of convolution type.*
- b) *If  $(a_n)_{n \in \mathbb{N}}$  is a sequence of measurable functions, then there exists a linear translation-invariant operator  $T$  on  $P$  such that  $T_t = e^{tT}$  for all  $t > 0$  and  $(T_t)_{t>0}$  can be extended to a group  $(T_t)_{t \in \mathbb{R}}$ .*

Therefore, the question of all invertible linear differentiation-invariant operators being exponential ones in a “certain sense” seems to be natural. In [10] it was proved that on  $H(C)$  (space of entire functions) all differentiation-invariant isomorphism (translation-invariant too) are of the type  $e^{aD+b}$ ,  $a, b \in \mathbb{C}$  (in fact, this result had been found previously by Delsarte and Lions [2] and Nagnibida [9]; in [10] more general results are obtained including as a particular case the one mentioned); note that in this case  $e^{aD+b} = e^b \sum_{k=0}^{\infty} \frac{a^k}{k!} D^k$  and the functions  $(a_n)$  are  $(e^b \frac{a^n}{n!})$ , for all  $a, b \in \mathbb{C}$  (continuous which is not surprising considering *theorem 2.5.5.* of [1]).

We prove here that the conjecture is true for a large class of weighted spaces that includes Grabiner results for  $\ell^1(r^n)$ ; for  $\ell^1(w_n)$  being an algebra they come straightforwardly from *theorem* (3.3) of [5] as the next theorem shows.

**Theorem 5.1.** *Let  $T = \sum_{k=0}^{k=\infty} a_n D^n$  be a differentiation-invariant operator on  $c_o(\frac{n!}{w_n})$ . Then  $T$  is an isomorphism if and only if the following equivalent conditions are true:*

- a)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\text{inv } \ell^1(w_n) = \exp \ell^1(w_n)$ , that is,  $f(t) = e^{g(t)}$ ,  $g(t) \in \ell^1(w_n)$ .
- b)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\ell^1(w_n)$  and  $\sum_{k=0}^{k=\infty} a_n z^n \neq 0$ , for all  $|z| \leq \rho$ ,  $\rho = \lim(w_n)^{\frac{1}{n}}$ .
- c)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\ell^1(w_n)$  and does not vanish on the spectrum of  $D$ .

*Proof.* The maximal ideal space of the Banach algebra  $\ell^1(w_n)$  is the closed disc  $\overline{D(0, \rho)}$  ([3], Section 19, pp. 116-120). As  $T$  is a multiplication operator on  $\ell^1(w_n)$ , then  $f(t)$  and  $\frac{1}{f(t)}$  are elements of  $\ell^1(w_n)$  and the result follows ([1], Chapter 4, Section 4.2, p.88).  $\square$

When  $\ell^1(w_n)$  is not an algebra the result is still true, at least, for a large class of weighted spaces; it is our conjecture that the result is valid for a larger class still. We assume  $(w_n)$  to satisfy a condition that includes all sequences  $(w_n)$  such that  $\frac{w_{n+1}}{w_n}$  is increasing (as  $\frac{w_{n+1}}{w_n}$  is bounded, then  $\lim_{n \rightarrow \infty} \frac{w_{n+1}}{w_n} = A$ ). Explicitly

**Theorem 5.2.** *Suppose that the sequence  $(w_n)$  satisfies the condition:*

$$\forall n, \forall \varepsilon, \exists k_n(\varepsilon) \text{ such that } \frac{w_{1+k_n}}{w_{k_n}}, \frac{w_{2+k_n}}{w_{1+k_n}}, \dots, \frac{w_{n+k_n}}{w_{n-1+k_n}} \geq A - \varepsilon$$

where  $A = \sup_n \frac{w_{n+1}}{w_n}$ . Then  $T = \sum_{k=0}^{k=\infty} a_n D^n$  is a differentiation-invariant isomorphism on  $c_o(\frac{n!}{w_n})$  if and only if the following equivalent conditions are true:

- a)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\text{inv } \ell^1(A^n) = \exp \ell^1(A^n)$ , that is,  $f(t) = e^{g(t)}$ ,  $g(t) \in \ell^1(A^n)$ .
- b)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\ell^1(A^n)$  and  $\sum_{k=0}^{k=\infty} a_n z^n \neq 0$  for all  $|z| \leq A$ .
- c)  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\ell^1(A^n)$  and does not vanish on the spectrum of  $D$ .

*Proof.* To prove (a) we will see that  $T$  is continuous if and only if  $f(t) = \sum_{k=0}^{k=\infty} a_n t^n$  belongs to  $\ell^1(A^n)$ . Therefore if  $T$  is an isomorphism  $f(t)$  and  $\frac{1}{f(t)}$  are elements of  $\ell^1(A^n)$  and a) follows. As  $\ell^1(A^n)$  is an algebra, a) and b) are equivalent. Noting that  $\sup_k \frac{w_{n+k}}{w_k} = A^n$  we have c).

Assume that  $T$  is continuous; so

$$\sum_{k=0}^{k=\infty} |a_n| \frac{w_{n+k}}{w_k} \leq C, \text{ for all } k$$

Taking  $\varepsilon$  and  $k_1(\varepsilon)$

$$|a_1| (A - \varepsilon) \leq |a_1| \frac{w_{1+k_1}}{w_{k_1}} \leq C$$

For the same  $\varepsilon$  and  $k_2(\varepsilon)$

$$(A - \varepsilon) |a_1| + (A - \varepsilon)^2 |a_2| \leq |a_1| \frac{w_{1+k_2}}{w_{k_2}} + |a_2| \frac{w_{2+k_2}}{w_{k_2}} \leq C$$

and so for the same  $\varepsilon$  and  $k_n(\varepsilon)$

$$\begin{aligned} |a_1| (A - \varepsilon) + |a_2| (A - \varepsilon)^2 + \dots + |a_n| (A - \varepsilon)^n \leq \\ |a_1| \frac{w_{1+k_n}}{w_{k_n}} + |a_2| \frac{w_{2+k_n}}{w_{k_n}} + \dots + |a_n| \frac{w_{n+k_n}}{w_{k_n}} \leq C \end{aligned}$$

which implies

$$\sum_{k=0}^{k=n} (A - \varepsilon)^k |a_k| \leq C, \text{ for all } n \text{ and all } \varepsilon$$

and therefore

$$\sum_{k=0}^{k=\infty} A^n |a_n| \leq C$$

Conversely, if  $(a_n) \in \ell^1(A^n)$  it is obvious that  $T$  is a continuous operator.  $\square$

*Remark.* Note that  $\ell^1(A^n) \subset \ell^1(w_n)$ ; besides, calling  $\alpha_n = \sup_k \frac{w_{n+k}}{w_k}$ , it is clear that  $\ell^1(\alpha_n) \subset \ell^1(w_n)$  and that all elements of  $\ell^1(\alpha_n)$  give differentiation-invariant continuous operators. The question is to know if  $\ell^1(\alpha_n)$  is, precisely, the algebra that solves the problem in all cases as it seems.

Note, too, that all elements of  $\ell^1(w_n)$  (when it is an algebra) are generators of a semi-group of differentiation-invariant isomorphisms. When it is not an algebra but  $(w_n)$  satisfies the above condition the roll is assumed by all elements of  $\ell^1(A^n)$ .

Finally we give some examples of sequences  $(w_n)$  that satisfy the hypothesis of *theorem* 5, 2; as it was said before all  $(w_n)$  such that  $(\frac{w_{n+1}}{w_n})$  is increasing are included. Take, for instance,  $w_n = \frac{1}{n}$ ,  $\frac{w_{n+1}}{w_n} = \frac{n}{n+1}$ ; then  $\ell^1(A^n) = \ell^1$ .

The following sequence  $(w_n)$  is such that  $\frac{w_{n+1}}{w_n}$  is not increasing but the above condition is true;

$$\begin{aligned} \frac{w_1}{w_0} = 1, \frac{w_2}{w_1} = 2, \frac{w_3}{w_2} = 1, \frac{w_4}{w_3} = 2, \frac{w_5}{w_4} = 2, \\ \frac{w_6}{w_5} = 1, \frac{w_7}{w_6} = 2, \frac{w_8}{w_7} = 2, \frac{w_9}{w_8} = 2, \frac{w_{10}}{w_9} = 1 \dots \end{aligned}$$

In this case  $\ell^1(A^n) = \ell^1(2^n)$ .

## REFERENCES

- [1] A. Di Bucchianico, *Probabilistic and analytical aspects of the umbral calculus*, CW/TRACT, 119, 1996.
- [2] J.Delsarte et J.L.Lions, *Transmutations d'opérateurs différentiels*, Comment.Math.Helv.32 (1957), 113-128.
- [3] I. Gelfand, D.Raikov, and G. Shilov, *Commutative Normed Rings*, Chelsea, New York, (1964).
- [4] S.Grabiner, *Dense subspaces of entire functions*, Michigan Math.J. 33 (1986), 417-422..
- [5] S. Grabiner, *Convergent expansions and bounded operators in the Umbral Calculus*, Advances in Mathematics. 72, (1988), 132-167.
- [6] E. Hille and R. S. Phillips, *Functional Analysis and Semigroups*, Amer. Math. Soc. Providence, RI, 1957.
- [7] L.Hörmander, *Estimates for translation invariant operators in  $L^p$  spaces*, Acta Mathematica. 104, (1960), 93-140.
- [8] I. I. Ibragimov and N. I. Nagnibida, *The matrix method and quasi-power bases in the space of analytic functions in a disc*, Russian Math. Surveys 30:6 (1975), 107-154.
- [9] N. I. Nagnibida, *Isomorphisms of analytic spaces that commute with differentiation*, Math. Sbornik. Tom 72 (114) (1967). No. 2.
- [10] J. Prada, *Operators commuting with differentiation*, Math. Japonica. 38, No.3 (1993), 461-467.
- [11] S. Roman, *The Umbral Calculus*, Academic Press, New York, 1984.
- [12] S.Roman and G.-R. Rota, *The Umbral Calculus*, Advances in Mathematics. 27 (1978), 95-188.
- [13] A. Wilansky, *Summability through Functional Analysis*, North-Holland, Amsterdam, 1984.

Sección de Matemáticas  
 Universidad de Salamanca.  
 37008, Salamanca.  
 Spain  
 E-mail: prada@gugu.usal.es