

A NEW PROOF OF L.K. HUA'S THEOREM ON HOMOMORPHISMS *

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ABSTRACT. In this paper, we provide a new proof of a well known theorem of L.K. Hua in 1949. The approach is different from Hua.

In 1949, L.K. Hua proved the following interesting theorem for ring homomorphisms [1]:

Hua's Theorem: *Let f be a mapping which maps a right R into another ring R' . If f satisfies the following conditions:*

$$(*) \quad (\forall a, b \in R) \begin{cases} f(a) + f(b) = f(a + b) \\ f(ab) = f(a)f(b) \text{ or } f(b)f(a) \end{cases}$$

then f is either a homomorphism or an anti-homomorphism from R to R' .

This theorem has now been included on some texts of algebra as an exercise, for example, Jacobson [2].

We now provide a new and elegant proof of the above theorem by using group theory. We divide the proof into three steps.

Step 1: We first recall a crucial fact. Let G_1 and G_2 be proper subgroups of a group G , denoted by $G_1 \subsetneq G$ and $G_2 \subsetneq G$. Then

$$(\dagger) \quad G_1 \cup G_2 \stackrel{\subset}{\neq} G$$

Step 2: For any $a \in R$, let

$$S_a = \{b \in R \mid f(ab) = f(a)f(b)\},$$

and

$$T_a = \{b \in R \mid f(ab) = f(b)f(a)\}.$$

Then, it is easy to see that S_a and T_a are both subgroups of the additive group of the ring R , that is, $S_a \leq (R, +)$ and $T_a \leq (R, +)$. By the conditions $(*)$ of f , we see that $S_a \cup T_a = (R, +)$, and thereby, by (\dagger) stated in Step 1, we have $S_a = (R, +)$ or $T_a = (R, +)$.

Step 3: We consider the following sets

$$S = \{a \in R \mid S_a = R\},$$

and

$$T = \{a \in R \mid T_a = R\}.$$

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Now, we can easily see that $S \leq (R, +)$ and $T \leq (R, +)$. Thereby, by the condition (*) of f , we see that

$$S \cup T = (R, +),$$

and hence by (†) in Step 1, we have

$$S = (R, +) \text{ or } T = (R, +).$$

This means that

$$(\forall a, b \in R) f(ab) = f(a)f(b),$$

or

$$(\forall a, b \in R), f(ab) = f(b)f(a).$$

In otherwords, f is either a homomorphism or an anti-homomorphism. Thus, Hua's theorem is proved.

Remark C. Reis and J.H. Shyr have removed the above Hua's theorem from rings to free semigroups in 1977 [2]. They have proved the following interesting theorem:

Let X be a non-empty set and X^+ be the free semigroup generated by X together with a transformation f defined by

$$(\Delta) \quad (\forall u, v \in X^+) f(uv) = f(u)f(v) \text{ or } f(v)f(u).$$

Then f is either a endomorphism or an anti-endomorphism on X^+ . However, we remark here that Hua's theorem does not generally hold for arbitrary semigroups. The following is an example.

Example. Let $L = \{f, g, h\}$ be a left zero semigroup. Form the semigroup S^1 by adjoining an identity element e to L . Then, we have the following Cayley table for S^1 .

	e	f	g	h
e	e	f	g	h
f	f	f	f	f
g	g	g	g	g
h	h	h	h	h

Define a transformation F from $S \rightarrow S$ by

$$F : \begin{cases} e \rightarrow f, \\ x \rightarrow x, \end{cases} \text{ for } x = f, g, h.$$

Then, it is easy to see that F satisfies the so-called Hua's condition (Δ) given by Reis and Shyr in [3], but F is clearly neither a endomorphism nor an anti-endomorphism in S . This is because we always have

$$F(g) = F(eg) = F(g)F(e) \neq F(e)F(g),$$

and

$$F(g) = F(ge) = F(g)F(e) \neq F(e)F(g).$$

In otherwords, Hua's theorem does not generally hold for semigroups.

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